

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION NO.		
10/658,472	09/10/2003	Hidekazu Miyairi	740756-2650 4070		
22204	7590 03/28/2006		EXAMINER		
NIXON PEABODY, LLP 401 9TH STREET, NW		NGUYEN, PHILLIP			
SUITE 900	CEI, IVV		ART UNIT PAPER NUMBE		
WASHINGTON, DC 20004-2128			2828		
			DATE MAIL ED: 03/28/2006		

Please find below and/or attached an Office communication concerning this application or proceeding.

		·			€.
		Application No.	Ap	plicant(s)	
Office Action Summary		10/658,472	Mi	YAIRI ET AL.	
		Examiner	Art	Unit	
•		Phillip Nguyen	283	28	
Period fo	The MAILING DATE of this communication r Reply	n appears on the cover	sheet with the corre	spondence address -	,=
WHIC - Exter after - If NO - Failui Any r	ORTENED STATUTORY PERIOD FOR REHEVER IS LONGER, FROM THE MAILIN asions of time may be available under the provisions of 37 C SIX (6) MONTHS from the mailing date of this communicatic period for reply is specified above, the maximum statutory pre to reply within the set or extended period for reply will, by eply received by the Office later than three months after the day patent term adjustment. See 37 CFR 1.704(b).	IG DATE OF THIS CO FR 1.136(a). In no event, howe on. period will apply and will expire s statute, cause the application to	MMUNICATION.  ver, may a reply be timely fil  KIX (6) MONTHS from the m become ABANDONED (35)	led lailing date of this communica U.S.C. § 133).	
Status	,				
1)⊠ 2a)⊟	Responsive to communication(s) filed on This action is <b>FINAL</b> . 2b) Since this application is in condition for al closed in accordance with the practice un	This action is non-finational formation in the second section is a contract to the second section in the second section is a second section in the second section is a second section in the second section is a section is non-fination in the section in the section is non-fination in the section in the section in the section is non-fination in the section in the section in the section is non-fination in the section in the section in the section in the section is non-fination in the section	mal matters, prosec		s is
Dispositi	on of Claims				
5)⊠ 6)⊠ 7)□	Claim(s) 1,2 and 17-20 is/are pending in the 4a) Of the above claim(s) is/are with Claim(s) 20 is/are allowed.  Claim(s) 1-2 and 17-19 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction as	hdrawn from considera			
Applicati	on Papers	•			
,	The specification is objected to by the Exa The drawing(s) filed on is/are: a) Applicant may not request that any objection t	accepted or b)☐ obj	•		
11)	Replacement drawing sheet(s) including the c The oath or declaration is objected to by the	orrection is required if the	drawing(s) is objecte	ed to. See 37 CFR 1.12	
Priority u	ınder 35 U.S.C. § 119				
12)⊠ a)[	Acknowledgment is made of a claim for fo  All b) Some * c) None of:  1. Certified copies of the priority docu 2. Certified copies of the priority docu 3. Copies of the certified copies of the application from the International B see the attached detailed Office action for	ments have been rece ments have been rece priority documents ha ureau (PCT Rule 17.2)	ived. ived in Application I ve been received in (a)).	No	
2) Notic 3) Inform	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-94 nation Disclosure Statement(s) (PTO-1449 or PTO/5 r No(s)/Mail Date 9/10/03.	8) 5B/08) 5) 🔲	Interview Summary (PTO Paper No(s)/Mail Date Notice of Informal Paten Other:		

Application/Control Number: 10/658,472

Art Unit: 2828

## **DETAILED ACTION**

## Acknowledgement

1. Applicant has elected group I, claims 1-2, canceled claims 3-16, and also added new claims 17-20.

## Claim Rejections - 35 USC § 112

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-2 and 17-18 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The claims recite "an optical system" beam", "an entrance side optical system", and "an exit side optical system" which are not clear and believed to be incorrect. According to the specification and the drawing, it is believed that applicant intends to claim an optical beam splitter. The optical beam splitter by itself cannot be call an optical system.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

Application/Control Number: 10/658,472

Art Unit: 2828

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Wilcox ('676).

With respect to claim 1, Wilcox discloses in Fig. 1 a laser apparatus comprising a laser oscillator 20; an optical system 30 for sampling a part of a laser beam emitted from the laser oscillator; an electric signal generator 70 for generating an electric signal that contains an energy fluctuation of the laser beam as a data using the part of the laser beam sampled; a light amount adjuster 60 for adjusting an energy of the laser beam emitted from the laser oscillator by changing a transmittance thereof and a signal processing unit 50 for subjecting the electric signal to a signal processing to calculate a frequency, an amplitude, and a phase of the energy fluctuation of the laser beam. It is noted that Wilcox discloses 50 as light intensity control device which may comprises high speed optical amplitude modulator such as Pockels cell, a Kerr cell, an electroabsorptive semiconductor device, a waveguide directional coupler modulator or any other type of device which will variably attenuate light energy in response to a variable electrical signal (col. 4, lines 1-15). It is inherent that Pockels cell modulate the amplitude, phase, and frequency of the energy fluctuation.

Wilcox further discloses that the attenuator can be adjusted by the result from the detected beam. It is inherent to have a driver to adjust /drive the adjuster (attenuator). See col. 5, lines 9-14.

With respect to claim 19, Wilcox discloses 19 a laser apparatus comprising: a laser oscillator 20, an optical system 30 for sampling a part of a laser beam emitted from the laser

Application/Control Number: 10/658,472

Art Unit: 2828

oscillator; a sensor 70 for receiving the sampled laser beam and converting the sampled laser beam to an electric signal; a signal processing unit for processing the electric signal for calculating at least a frequency, an amplitude and a phase of an energy fluctuation of the laser beam; an attenuator 60 for attenuating a laser beam emitted from the laser oscillator by using the frequency, amplitude and the phase of the energy fluctuation of the laser beam calculated by the signal processing unit.

4. Claims 1 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Wise ('530).

With respect to claim 1, Wise discloses in Fig. 1 a laser apparatus comprising a laser oscillator 2; an optical system 8 for sampling a part of a laser beam emitted from the laser oscillator; an electric signal generator 18 for generating an electric signal that contains an energy fluctuation of the laser beam as a data using the part of the laser beam sampled; a light amount adjuster 12 for adjusting an energy of the laser beam emitted from the laser oscillator by changing a transmittance thereof and a signal processing unit (gain adjustment circuit and amplifiers 20 and 25) for subjecting the electric signal to a signal processing to calculate a frequency, an amplitude, and a phase of the energy fluctuation of the laser beam.

With respect to claim 19, Wise discloses a laser apparatus comprising: a laser oscillator 2, an optical system 8 for sampling a part of a laser beam emitted from the laser oscillator; a sensor 18 for receiving the sampled laser beam and converting the sampled laser beam to an electric signal; a signal processing unit (gain adjustment circuit and amplifiers 20 and 25) for processing the electric signal for calculating at least a frequency, an amplitude and a phase of an energy fluctuation of the laser beam; an attenuator 60 for attenuating a laser beam emitted from the laser

Art Unit: 2828

oscillator by using the frequency, amplitude and the phase of the energy fluctuation of the laser beam calculated by the signal processing unit.

## Allowable Subject Matter

5. Claim 20 is allowed.

Claims 17 and 18 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## Communication Information .

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phillip Nguyen whose telephone number is 571-272-1947. The examiner can normally be reached on 9:00 AM - 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, MINSUN HARVEY, can be reached on 571-272-1835. The fax phone number for the organization where this application or proceeding is assigned is **571-273-8300**.

Art Unit: 2828

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

pn

AU 2828

MINGUN CHANNEY